



## Alexis D. Lucas

Associate

Office: Indianapolis

alucas@kdlegal.com

p: 317-238-6381

f: 317-636-1507

Alexis Lucas is a member of the firm's Business & Financial Institutions practice areas and focuses on privacy law on behalf of financial institutions. As an associate attorney, Ms. Lucas counsels clients on regulatory compliance, mergers and acquisitions transactions, and other general corporate matters. Ms. Lucas also has experience developing E-Sign Act disclosures, state-specific privacy policies, and artificial intelligence policies, as well as participating in the negotiations of fintech contracts with an emphasis on data protection.

Prior to joining the firm, Ms. Lucas served as a family case manager for the Indiana Department of Child Services. As a family case manager, Ms. Lucas investigated allegations of child abuse and neglect, advocated on the behalf of children in Marion Superior Court's Family Division and worked with families in need to connect them to community resources.

### Education

- J.D., Indiana University Maurer School of Law, Bloomington, Indiana, 2022
  - Submissions Editor, Indiana Journal of Global Legal Studies
  - Fellow, Indiana Conference for Legal Education Opportunity
- B.S. in Public Affairs, *High Distinction*, Indiana University-Purdue University Indianapolis, Indiana, 2017
  - Dean's List
  - Honors College Chancellor's Scholar

### Bar & Court Admissions

- Indiana, 2022
- U.S. District Court for the Northern District of Indiana, 2022
- U.S. District Court for the Southern District of Indiana, 2022

### Practices

- Business Services
- Corporate Governance
- Mergers and Acquisitions



- Privately and Closely-Held Businesses
- Securities
- Financial Services
- Credit Unions
- Artificial Intelligence (AI)

## Industries

- Financial Services

## Representative Experience

- Assisted multinational financial institution with regulatory compliance review of financing proposal
- Assisted state-chartered credit union with procedures for escheatment of funds
- Drafted and reviewed vendor nondisclosure agreements on behalf of state-chartered credit unions
- Drafted documentation for financial institutions and corporate clients regarding operations, governance, and the sale/purchase of corporate assets
- Assisted clients with business succession plans, which involved amending corporate governing documents and creating buy-sell agreements, creating share/unit voting and non-voting splits, using a gift and sale transaction to various family members and irrevocable trusts
- Advised and assisted companies regarding successor liability after the acquisition of a business
- Advised private foundations on use restrictions and tax implications of large donations
- Assisted companies with Secretary of State and Department of Insurance filings with respect to company formation and regulatory compliance
- Drafted mortgage and purchase agreement for private real estate transaction
- Assisted companies with SEC filings